



海通國際
HAITONG

Haitong International Financial Services (Singapore) Pte. Ltd. ("HTIFS")

Haitong International Securities (Singapore) Pte. Ltd. ("HTISS")

NOTIFICATION OF CHANGE IN PARTICULARS - PERSONAL ACCOUNT

Client Name: _____ Trading Account No.: _____

If you wish to update a change in your particulars, please complete the relevant field and attach the supporting document. Please tick in the box as appropriate and *delete where applicable. If you have been authorised to act on behalf of a client, you may update your particulars using this form. Please state your name after the client's name (above) and your capacity.

I. PERSONAL PARTICULARS

Name (As in Identity Card/Passport) [Dr/Mr/Mrs/Mdm/Ms*]

Surname	Given name
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(Please attach a certified true copy of your I/C or Passport) **THE CERTIFICATION OBTAINED HAS TO BE ORIGINAL**

Telephone Number(s):

Home: _____ Office: _____ Mobile: _____

Fax: _____ Email: _____

NRIC / Passport No.: _____

Marital Status Single Married Others: _____ Singapore PR Yes No

Nationality Singaporean Malaysian Others: _____ Tax Resident Yes No
(stay in Singapore for 183 days or more in a year)

For non Singaporean and non Singapore PR, are you wholly or partly dependent on citizen(s) or permanent resident(s) of Singapore? Yes No

Residential Address

	Postal Code
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Country

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Mailing Address

	Postal Code
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Country

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Please state reason if mailing address is different from residential address and provide proof of address.

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II. EMPLOYMENT STATUS

Name of Present Employer / Business

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Self - Employed

Yes No

Address of Present Employer / Business

	Postal Code
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Country

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Nature of Employer's Business

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Occupation / Designation

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Number of Years With Present Employer / Business

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For customers with no employment, please specify current status

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Number of Years in current status

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III. BANK ACCOUNT (for fund deposit only)

Bank Name

Bank Account Number

IV. FINANCIAL STATUS

Gross Annual Income / Allowance

- Below \$30,000
 \$30,001 - \$60,000
 \$60,001 - \$100,000
 \$100,001 - \$299,999
 \$300,000 and above

Account Objective

- Capital Preservation
 Trading / Growth
 Others (Please specify) _____

V. TAX STATUS

Have you ever committed or been convicted of any serious tax crimes? Yes No

To the best of your knowledge, are the funds placed/to be placed with HTIFS / HTISS involved in or are the proceeds of any fraudulent tax evasion? Yes No

I acknowledge that I am responsible for my own tax declarations to relevant authorities (regardless of whether preparation and submission is done by accountants/auditors or any other persons engaged by yourself for this purpose)

VI. BENEFICIAL OWNER(S) DECLARATION AND SOURCES OF FUNDS / WEALTH

1) I certify and confirm that the following individual(s) ultimately own(s) or has/have effective control over the Account opened with HTIFS / HTISS, i.e. "Beneficial Owner(s)":

- myself
 others (please advise details below)

Name	ID / Passport No. <small>(Please provide a copy of the ID)</small>	Nationality	Residential Address	Date of Birth

2) Pursuant to MAS Notice SFA04-N02, please provide the following information:

Source(s) of Funds/Wealth

- Employment Savings Interest income
 Trading in securities & derivative products Rental income
 Retirement pension/allowance/annuity Business ventures
 Inheritance Allowance from spouse/children

Others (please specify): _____

Note:

Pursuant to MAS Notice SFA04-N02, Prevention of Money Laundering and Countering the Financing of Terrorism, this declaration is mandatory.

VII. DOCUMENTS REQUIRED

Attached herewith:

1. Copy of NRIC/Passport/Photo Identity Card + (For name change)
2. Copy of Deed Poll + (For name change)
3. Copy of recent Address Proof + (For residential/mailling address change)
4. Copy of Bank Statement + (For change in bank account)

+ Copy to be verified by Notary Public / Lawyer or Authorised Representative of HTIFS / HTISS.

THE ABOVE CERTIFICATION OBTAINED HAS TO BE ORIGINAL

VIII. DECLARATION

I declare that the information given in this update is true and correct and that I have not wilfully withheld any facts. I undertake to keep HTIFS / HTISS informed of any changes in the particulars given in this update. I hereby authorise HTIFS / HTISS to verify any information provided by me from any sources that HTIFS / HTISS may, in its sole discretion, deem appropriate.

Name and Signature of Customer

Date