



**PRESS RELEASE**

**FOR IMMEDIATE RELEASE**

## **Haitong International Won Three Awards Presented by Institutional Investor**

**Hong Kong, 14 April 2014** – Haitong International Securities Group Limited (“Haitong International” or the “Group”; Stock Code: 665.HK) is pleased to announce that the Group has won the “2013 Best Asset Management Team in Greater China” Diamond Award and “2013 Best Research Team in Greater China” Diamond Award, organized by IICChina.com, the Chinese website of Institutional Investor, while Dr. Hu Yifan, Chief Economist and Head of Research of Haitong International Securities Group was awarded the “2013 Best Economist in Greater China”.

IICChina.com is the global Chinese website of Institutional Investor magazine based in New York. Institutional Investor is one of the key financial publications in the Wall Street as well as major international financial centers, targeting senior management of financial institutions and financial industry practitioners by supplying authentic financial analyses and reports. The winners were elected by an online poll of Institutional Investor. Moreover, the publication is also publishing over 60 rankings of management teams and analysts all over the world each year.

Haitong International’s Asset Management team is composed of top-class professionals, striving to offer a full spectrum of asset management services to both institutional and individual clients worldwide. The team enjoys a leading market position in the launch and development of RMB-denominated fund products in Hong Kong. The Group’s Haitong CSI 300 Index ETF was listed on the Hong Kong Stock Exchange in March 2014, rendering it the first local company with Chinese securities firm background to issue an RQFII ETF in Hong Kong. As at 28 March 2014, the Group has been granted an aggregate RQFII quota of RMB9.7 billion via its parent company, Haitong International Holdings Limited, ranking the first among all Chinese securities firms in Hong Kong (according to the securities firm category in the State Administration of Foreign Exchange website).

Haitong International’s Research Department specializes in providing investors with in-depth and timely reports on macroeconomic and equity analysis and research covering the Greater China market. The Group has devoted substantial resources to form a supreme research team. Under the leadership of Dr. Hu, the team acquires competencies in macroeconomics and strategies research, as well as a broad coverage of 11 industry segments (including TMT, financial, new energy, consumer, real estate, automotive, gaming & hospitality, etc.) and over 130 listed companies. Haitong International’s research reports were well recognized by the industry for their insights, depth, and timeliness, and their exposure in the high-end and mainstream media have been increasing.

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### **Risk Disclosure Statement**

The Haitong CSI300 Index ETF is a passively managed index-tracking exchange traded fund. This product is not suitable for everyone. Investment involves risks and the value of units may go down as well as up. Past performance is not indicative of future performance. Investors may suffer a loss or benefit from investment return. Investors should not deal in this product unless they understand their nature and the extent of their exposure to risk. Investor should not invest in this product unless the intermediary who sells it to you has explained to you that this product is suitable for you having regard to your financial situation, investment experience and objectives. Investors should not invest in this product based on this document alone. Before making any investment decision, the investor should read this product's offering documents carefully including the risk factors.

### **Haitong International Securities Group Limited**

Haitong International Securities Group Limited ("Haitong International"; together with its subsidiaries, the "Group"; Stock Code: 665.HK) is a subsidiary of Haitong International Holdings Limited ("Haitong International Holdings"), a company incorporated in Hong Kong and wholly-owned by Haitong Securities Co., Ltd. ("Haitong Securities"). Haitong Securities is listed on the Shanghai Stock Exchange and the Stock Exchange of Hong Kong (Stock Code: 600837.SH; 6837.HK). Being one of the leading securities companies in China, Haitong Securities provides comprehensive financial services for over 4.6 million retail clients as well as over 12,000 institutional and high net worth clients with more than 240 sales offices spreading across nearly 30 provinces in the country.

Haitong International Securities Company Limited, a main operating subsidiary of Haitong International, was established in 1973. Haitong International was formed in 1996 and was listed on the Stock Exchange of Hong Kong in August of the same year. The Group is well-established to provide comprehensive and quality corporate finance, brokerage and margin financing, investment management, fixed income, currency and commodities as well as structured financing products and services to institutional clients and individual investors domestically and around the globe. As the only overseas business platform of Haitong Securities, the Group strives to be a modern and comprehensive financial institution with leading position in the Greater China Region.

The Group has a robust retail client base and extensive distribution channels, with over 10 branches in Hong Kong and Macau. The Group has 2 representative offices in Beijing and Shanghai and an overseas company Haitong International Securities Group (Singapore) Pte. Ltd. in Singapore.

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