

Haitong International Won Multiple Awards by Major Institutions

Hong Kong, 21 May 2021 – Haitong International Securities Group Limited (“Haitong International”; 665.HK) has won multiple major awards granted by several key industry institutions and international media, namely:

- HKEX – “*Top Market Maker – ETP Turnover*”, “*Top Participating Dealer – ETF Creation & Redemption*”
- Insights & Mandate – “*Best ESG Manager (Hong Kong)*”, “*Most Innovative Product: ETF (Hong Kong) – Haitong MSCI China A ESG ETF*”, and “*Performance Award: Global Equity (10 Years) - Haitong Global Diversification Fund*”
- The Asset - “*Most Innovative ETF (Hong Kong) - Haitong MSCI China A ESG ETF*”
- 2021 Refinitiv Lipper Fund Awards – “*Hong Kong Pension Funds Awards - Equity Global (5 Years)*”, “*Hong Kong Pension Funds Awards – Equity Hong Kong (5 Years)*”

Haitong International is one of the leading Chinese warrants & CBBCs issuers in Hong Kong. In Q1 2021, the business of warrants and CBBCs experienced significant growth. During the first quarter, Haitong International issued over 1,400 warrants and CBBCs with the total turnover exceeding HK\$240 billion, representing 198% growth compared to a year ago and ranked second in the Hong Kong market.

On the Asset Management front, a number of funds under Haitong International’s Asset Management delivered stable and outstanding long-term returns. As of 31 March 2021, the Haitong Global Diversification Fund, a fund under the mandatory provident fund scheme participated in by Haitong International, delivered a 5-year aggregated return of 89%, ranking top among 41 similar funds; the Haitong Hong Kong SAR Fund delivered a 5-year aggregated return of 112%, ranking top among 37 similar funds.

Haitong International Asset Management also launched its “Haitong MSCI China A ESG ETF” in 2020, the first broad-based ESG ETF listed on the Hong Kong Stock Exchange offering broad ESG investment exposure on China A shares. The introduction of this ETF enriched the offerings of ETF products in Hong Kong market. Prior to this, this ETF has already won the “New Product of the Year” title from the 2020 HKCAMA-Bloomberg Offshore China Fund Awards

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Haitong International Securities Group Limited

(Incorporated in Bermuda with limited liability)

Haitong International Securities Group Limited (“Haitong International”; Stock Code: 665.HK), together with its subsidiaries, is an international financial institution with established presence in Hong Kong and a rapidly expanding network across the globe. It strives to serve as a bridge linking up the Chinese and overseas capital markets. The parent company of Haitong International is Haitong Securities Co. Ltd (“Haitong Securities”, Stock Code: 600837.SH; 6837.HK).

To date, Haitong International has well positioned to serve about 200,000 corporate, institutional, as well as retail and high-net worth clients worldwide. Its well-established financial services platform provides corporate finance, wealth management, asset management, institutional clients (key businesses include fixed income, currency and commodities (FICC), derivatives, institutional equities) and investments business, and a full spectrum of financial products and services. Haitong International possesses a sound risk management system that is in line with international standards. The company has been assigned a “Baa2” long-term issuer rating by Moody’s and a “BBB” long-term credit rating by Standard and Poor’s. Haitong International has a global financial servicing network covering the world’s major capital markets including Hong Kong, Singapore, New York, London, Tokyo, Mumbai, and Sydney, thereby making it to be a leading global financial institution with international competitiveness, systematic importance and brand influence.

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