

Haitong International Wins “Special ESG Awards – The Outstanding ESG Performer of the Year (Listed Company) Platinum Award” by Institute of ESG & Benchmark (IESGB)

Hong Kong, 30 August 2022 - Haitong International Securities Group (“Haitong International” or the Group; 665.HK) has won the ESG Achievement Awards 2021/2022 organized by The Institute of ESG & Benchmark (IESGB) for the second consecutive year. This year, Haitong International was awarded “Special ESG Awards – Outstanding ESG Performer of the Year (Listed Company) Platinum Award” in recognition of the Group’s ESG philosophy and its outstanding performance.

An independent panel of judges considered five key aspects, including sustainability strategy, corporate governance, overall disclosure and communications, environment and social, when evaluating the ESG performance of the shortlisted candidates. On the back of outstanding performance in sustainable finance and ESG practices, Haitong International demonstrated its capabilities in the key aspects and won “Special ESG Awards – Outstanding ESG Performer of the Year”.



Haitong International is dedicated to improving its ESG governance structure and maintaining a high standard of corporate governance practices. In 2020, Haitong International established its ESG committee under the Group’s Executive Committee to incorporate ESG development in the Group’s strategy and instill its ESG philosophy in all areas of the Group’s business operations. The Group



announced its first "ESG Statement", committing to achieving net zero carbon emissions by the end of 2025, and became the first Chinese financial institution to publicly pledge to achieve carbon neutrality within a specific time frame. Moreover, Haitong International has been issuing its independent ESG reports for six consecutive years and became one of the first financial institutions in Hong Kong to engage professional auditors to perform assurance engagement on its ESG disclosure, thus publishing an ESG assurance report.

Since 2021, Haitong International has successfully launched the world's first ESG focused Asia ex-Japan high yield corporate USD bond ETF (TAHY) and completed 54 green and sustainable bond issuances, with total financing amount exceeding USD 17 billion. In addition, the Group partnered with China-UK Climate and Environmental Information Disclosure Pilot Working Group and jointly published the "Chinese Financial Institutions' Route to Achieving Carbon Neutrality" Report, as well as jointly published "Hong Kong: the International Financial Center for the Future" with the One Country Two Systems Research Institute, to enhance the knowledge and implementation of ESG in the financial industry and market as a whole.

Haitong International was included in the FTSE Russell FTSE4Good Index of the London Stock Exchange in early 2022 and had its ESG rating re-affirmed as "A" by the internationally recognized index agency MSCI in June 2022. Looking ahead, the Group will take on a unique role in capital intermediary and brokerage in investment, financing, advisory and research, bringing "impact investment" into play as it strives to become one of the world's renowned leading green investment banks focusing on sustainable finance.

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Haitong International Securities Group Limited

Haitong International Securities Group Limited ("Haitong International"; Stock Code: 665.HK) is an international financial institution with established presence in Hong Kong and a rapidly expanding network across the globe. It strives to serve as a bridge linking up the Chinese and overseas capital markets. The parent company of Haitong International is Haitong Securities Co. Ltd ("Haitong Securities", Stock Code: 600837.SH; 6837.HK).

Haitong International is well positioned to serve corporate, institutional, as well as high-net worth clients worldwide. Its well-established financial services platform provides a full spectrum of financial offerings including private wealth management, corporate finance, asset management, global markets (key businesses include sales and trading of both equity and fixed income products, prime brokerage and risk management solutions, and research advisory) and investment businesses. Haitong International possesses a sound risk management system that is in line with international standards. The company has been assigned a "Baa2" long-term issuer rating by Moody's and a "BBB" long-term credit rating by Standard and Poor's. Haitong International is also a high performer in terms of ESG and corporate governance. It has been assigned "A" in ESG rating by MSCI and included in the FTSE4Good Index by FTSE Russell. Haitong International has a global financial servicing network covering the world's major capital markets including Hong Kong, Singapore, New York, London, Tokyo, Mumbai and Sydney, thereby making it a world-class Chinese financial institution with international competitiveness, systemic importance and brand influence.

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