

## Haitong International Wins “Special ESG Awards – The Outstanding ESG Performer of the Year (Listed Company) Platinum Award” For the Second Consecutive Year

**Hong Kong, 25 September 2023** – Haitong International Securities Group (“Haitong International” or “the Group”; Stock Code: 665.HK) has won the “Special ESG Awards – The Outstanding ESG Performer of the Year (Listed Company) Platinum Award” organized by The Institute of ESG & Benchmark (IESGB) for the second consecutive year. It fully recognizes the Group’s philosophy and outstanding performance in the areas of environmental, social and corporate governance (ESG)

“ESG Achievement Awards” shares the theme of “ESG Champions: Pioneering Sustainable Development”. It aims to promote companies’ pioneering initiatives in ESG fields, which are evaluated and selected by an independent jury panel. With its capabilities in sustainable finance and ESG practices, Haitong International achieves outstanding performance in five key award criteria, including sustainability strategy, corporate governance, social, environment and overall disclosure and communications; and wins the award.



Haitong International announces its first "ESG Statement" in 2020, becoming the first Chinese financial institution to publicly pledge to achieve carbon neutrality within a specific timeframe, and steps up its efforts in promoting ESG and sustainable financing and investment. Since then, Haitong International has successfully launched the world's first ESG focused Asia ex-Japan high yield corporate USD bond ETF (TAHY) and worked closely with clients to achieve sustainable development via various investment and financing activities in line with the United Nations Sustainable Development Goals (UNSDGs). As of June 2023, the Group has completed 79 green and sustainable bond issuances project with a total financing amount of approximately USD 23 billion.

Haitong International has a well-balanced ESG governance structure and high standard of corporate governance practices. The Group formed its ESG committee under the Board’s Executive Committee, spearheading the Group’s ESG strategy formulation and implementation and instilling



its ESG philosophy in all areas of the Group's business operations. It continued to devote resources in community investment projects and initiated the launch of ESG event themed "Green Thinking, Sustainable Living". Additionally, Haitong International has been issuing its independent ESG reports for seven consecutive years and became one of the first financial institutions in Hong Kong to engage professional auditors to perform assurance engagement and publish ESG assurance report, achieving high standards in corporate information disclosure.

Given the superb performance in sustainable finance and ESG practices, Haitong International is included in the FTSE Russell FTSE4Good Index of the London Stock Exchange in 2022 and has its ESG rating re-affirmed as "A" by MSCI, the internationally recognized index agency, demonstrating a leading position in ESG practices among global investment banks.

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#### **Haitong International Securities Group Limited**

Haitong International Securities Group Limited ("Haitong International"; Stock Code: 665.HK) is an international financial institution with established presence in Hong Kong and a rapidly expanding network across the globe. It strives to serve as a bridge linking up the Chinese and overseas capital markets. The parent company of Haitong International is Haitong Securities Co. Ltd ("Haitong Securities", Stock Code: 600837.SH; 6837.HK).

Haitong International is well positioned to serve corporate, institutional, as well as high-net worth clients worldwide. Its well-established financial services platform provides a full spectrum of financial offerings including private wealth management, corporate finance, asset management, global markets (key businesses include sales and trading of both equity and fixed income products, prime brokerage and risk management solutions, and research advisory) and investment businesses. Haitong International possesses a sound risk management system that is in line with international standards. The company has been assigned a "BBB" long-term credit rating by Standard and Poor's. Haitong International is also a high performer in terms of ESG and corporate governance. It has been assigned "A" in ESG rating by MSCI and included in the FTSE4Good Index by FTSE Russell. Haitong International has a global financial servicing network covering the world's major capital markets including Hong Kong, Singapore, New York, London, Tokyo, Mumbai and Sydney, thereby making it a world-class Chinese financial institution with international competitiveness, systemic importance and brand influence.

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