



**Client Declaration for Taiwan Securities Trading - Individual Account and/or Joint Account**  
**買賣台灣證券客戶聲明 - 個人賬戶及/或聯名賬戶**

**Client Information 客戶資料**

Name 姓名: \_\_\_\_\_ Account No 賬戶號碼: \_\_\_\_\_

**Declaration of Account Status 賬戶狀況聲明**

Please complete the following information for an individual and/or joint account. Please note that in the case of a joint account, each client is required to complete a separate declaration form.  
請填寫以下有關個人賬戶及/或聯名賬戶的資料，如屬聯名賬戶，每名客戶須分別填寫聲明書。

Please tick below appropriate box(es):

請在下列方格內加上「✓」號：

I confirm that my registered identity document\* in Haitong International is not issued by the Mainland China or Taiwan. I shall immediately notify Haitong International if there is any change to my identity after signing this Declaration.

本人確認，本人於海通國際登記證件的簽發地\*並非中國或台灣，而本人的身份若在簽署本聲明後有任何更改，會立即通知海通國際。

I confirm that the funds to be invested in Taiwan securities by me are not originated from the Mainland China or Taiwan.

本人確認，本人透過海通國際投資於台灣證券之資金非來自中國內地或台灣。

\*Remark: If your registered identity document in Haitong International is not Permanent Hong Kong Identity Card, the above declaration should be made according to the place of issue of the valid travel document such as Passport/Document of Identity for Visa Purposes/Exit-Entry Permit to and from Hong Kong and Macau provided to Haitong International.

\*註:如閣下於海通國際登記的證件並非香港永久性居民身份證，閣下須根據向海通國際提交的有效旅遊證件如護照/簽證身份書/往來港澳通行證之簽發地作出上述有關聲明。

**Declaration 聲明**

I represent that the information on this form is true, complete and accurate. Haitong International Securities Company Limited/ Haitong International Futures Limited/ Haitong International Bullion Limited/ Haitong International Asset Management Limited/ Hai Tong Asset Management (HK) Limited/ Haitong International Investment Managers Limited/ Haitong International Wealth Management Limited/ Haitong International Consultants Limited/ Haitong International Credit Company Limited/ Haitong International Finance Company Limited ("Companies") is/ are entitled to rely fully on such information for all purposes, unless it/ they receive(s) notice in writing of any change. I also undertake to notify the Companies immediately of any changes to the information given above.

本人聲明本聲明所提供的資料全部真實、完整及正確。除非海通國際證券有限公司/ 海通國際期貨有限公司/ 海通國際金業有限公司/ 海通國際資產管理有限公司/ 海通資產管理(香港)有限公司/ 海通國際投資經理有限公司/ 海通國際創富理財有限公司/ 海通國際顧問有限公司/ 海通國際信貸有限公司/ 海通國際財務有限公司接到更改有關本聲明內容的書面通知，否則上述公司有權完全依賴此等資料及聲明作一切用途。本人亦同意如上述資料有任何改變，會立即通知上述公司。

\_\_\_\_\_  
Client Signature 客戶簽署

\_\_\_\_\_  
Date 日期

**For Internal Use Only 僅供內部使用**

Signature Verified By: 簽字核實人:	
Date 日期:	