

Dear Valued Customers :

Adjustment to account overdue interest (HKD/USD/RMB)

Thank you for your continuous support to Haitong International!

With immediate effect, the account overdue interest in HKD, USD and RMB will be adjusted as follows (the adjustment is applicable to the following items only)

Account Overdue Interest (HKD/USD/RMB)

**HKD**

Item	Pledged securities	Financing charge (at annual interest rate)	
Margin Account Financing Charge	Stocks of Haitong Middle Kingdom Fund, Tracker Fund of Hong Kong, Hang Seng Index or China (HK-listed) 100 Index Constituents or shares with 65% or more margin ratio *	P- 1.05%	Extra interest 0%#
	Pledgeable shares settled in HKD listed on the HK stock market	6.3%	
	Additional loan or overdue in excess of the margin value of shares in HKD	P+ 9%	

**USD**

Item	Pledged securities	Financing charge (at annual interest rate)	
Margin Account Financing Charge	Pledgeable shares settled in USD listed on HK or US stock markets	6.3%	
	Additional loan or overdue in excess of the margin value of shares in USD	P+ 9%	

**RMB**

Item	Pledged securities	Financing charge (at annual interest rate)	
Margin Account Financing Charge	Pledgeable shares listed on China Connect (Shenzhen-HK Connect and Shanghai-Hong Kong )	8.3%	Extra interest 0%#
	Pledgeable shares settled in RMB listed on HK stock market		
	Additional loan or overdue in excess of the margin value of shares in RMB	P+ 9%	

\* The account overdue interest charge in HKD in relation to “Stocks of Haitong Middle Kingdom Fund, Tracker Fund of Hong Kong, Hang Seng Index or China (HK-listed) 100 Index Constituents or shares with 65% or more

margin ratio is adjusted with immediate effect till 31 December 2020. After which, the account overdue interest charge in HKD will be adjusted to 6.3%, same as which for pledgeable shares settled in HKD listed on the HK stock market.

# With effect from 1 February 2017, the RMB margin financing surcharge is charged based on current market circumstances and the Company may adjust the rate in accordance with market dynamics. We may update the surcharge of RMB margin financing from time to time and publish the same on our company website after 10 a.m. of the day of change.

Notes:

- 1) P is the HSBC prime rate
- 2) The above account overdue interests are preset rates by the Company which is not applicable to the cases for clients approved to get a special rate.

The above adjustment to account overdue interest is applicable to margin accounts only. For details of other charges, please click the following links:

Trading in HK stocks and A stocks:

[http://www.htisec.com/sites/default/files/Securities%20Market\\_HK\\_EN.pdf](http://www.htisec.com/sites/default/files/Securities%20Market_HK_EN.pdf)

Trading in stocks on overseas stock market:

[http://www.htisec.com/sites/default/files/Securities%20Market\\_Overseas\\_EN.pdf](http://www.htisec.com/sites/default/files/Securities%20Market_Overseas_EN.pdf)

Should you have enquiries, please contact your account executive or call our Customer Services hotline (852) 3583 3388 or (86) 755 8266 3232.

For and on behalf of

Haitong International Securities Limited