「期货合约」买卖是定性为高风险投资，阁下必须确保已了解「期货合约」买卖的特性，仔细权衡阁下的投资经验、目标、财政状况及所能承担「期货合约」买卖之相关风险后，方签署此账户开立表格。

海通國際 HAITONG

☐ 个人账户
☐ 联名账户
☐ 公司 / 合夥公司账户

适用于期货户口

期 货 交 易 账 户 开 立 表 格

致： 海通国际期货有限公司，为一间核准从事证券及期货条例（香港法例第五七一章）中第二类受规管活动（期货合约交易）之持牌法团（中央编号：AAX474）和香港期货交易所注册为期货代理商，通讯地址位于香港德辅道中189号李宝椿大厦22楼。（下称「贵公司」）

含有众数意义的名词亦具单数名词的意义，反之亦然。

敬启者，

1. 开户申请

本人 / 吾等为 _____ （下称「客户」），兹要求贵公司依照期货账户条款和条件所载之条款和条件（下称「条款和条件」）为本人 / 吾等开立并维持一个或多个期货交易账户（与本人 / 吾等在贵公司维持的任何其他账户合称为「账户」）及为本人 / 吾等提供一个密码及登入名称以便使用电子服务。

2. 要求的服务类别

本人 / 吾等希望不时按本人 / 吾等的决定在贵公司开立一个或多个账户，以便买卖商品。
贵公司同意不时按本人 / 吾等要求，全权酌情接纳本人 / 吾等以名称 / 姓名（等）、号码（等）或其他方式开立一个或多个账户并接纳本人 / 吾等开立及维持该（等）账户；贵公司亦将根据本协议条款和条件担任本人 / 吾等之代理人（如有本协议另行指明充任主事人（等）之情况例外），代本人 / 吾等买卖商品。

3. 签署指示 （只适用于联名账户）

(1) 以上每一联名账户持有人均各自有权不时以口头及 / 或透过电子服务（如适用）发出指示为账户买卖任何商品。
(2) ☐ 其中一位联名账户持有人单独签署， ☐ 所有联名账户持有人共同签署，任何就账户运作及账户款项之书面指示，方为有效。

4. 确认及承认

本人 / 吾等确认收妥 (i) 条款和条件；及 (ii) 期货及期权服务收费表。
《协议和风险披露声明》本人 / 吾等谨此承认并确定本人 / 吾等已获得按照本人 / 吾等所选择的语言（英文或中文）的本协议，当中包括，但不限于载于条款和条件内之风险披露声明，及本人 / 吾等已获邀请细阅该风险披露声明，提出问题及徵求独立的意见（如本人 / 吾等有此意愿）。
《常设授权》本人 / 吾等谨此承认并确定本人 / 吾等已经根据条款和条件内第十条的常设授权条款授权贵公司处置在条款和条件内所定义的款项，并且本人 / 吾等就该条款的内容已获得解释，及本人 / 吾等明白该条款的内容。
本人 / 吾等兹确认已经阅读并同意贵公司的账户开立表格、仅供公司 / 合夥公司账户使用签字页（如适用），已填妥客户资料表，且已经阅读并同意条款和条件。所有上述文件构成贵公司与本人 / 吾等就账户达成之协议。
本人 / 吾等确认收妥有关本人 / 吾等于海通国际期货有限公司开立账户之开户表格及客户资料表的副本，并根据本人 / 吾等的选择而以英文或中文编印。
《个人资料私隐政策》本人 / 吾等已仔细阅读、完全理解并同意接受及遵守海通国际证券集团之个人资料私隐政策所载有关个人资料（私隐）条例（香港法例第486章）的通知及声明。除非本人 / 吾等在下方的方格内另有指示，本人 / 吾等同意将本人 / 吾等的个人资料用作直接促销用途。
☐ 除了任何海通国际证券集团成员可将本人的个人资料作直接促销用途外，本人 / 吾等反对贵公司将本人 / 吾等的个人资料予其他第三者作直接促销用途。
☐ 本人 / 吾等反对将本人 / 吾等的个人资料提供予任何海通国际证券集团成员及任何第三者作直接促销用途。

* 签署式样将被用作核证任何就账户运作之书面指示。

日期：----------

账户号码：01-_____-00-___

☞ 客户签署/签署式样*

(个人) (联名客户)

☞ 公司客户签署/获授权人签署及公司盖章式样

(公司客户)

客户姓名：

身份证 / 护照号码：

联名客户姓名：

身份证 / 护照号码：

获授权代表：

签署

获授权人姓名：

获授权人身份证 / 护照号码：

见证人名称：_____)

见证人地址：_____)

_____)

见证人身份证 / 护照号码：_____)

见证人签署

持牌人声明

本人已按照客户所选择的语言（英文或中文）提供客户风险披露声明及已邀请客户细阅该风险披露声明，提出问题及徵求独立意见（如客户有此意愿）。

持牌人姓名：_____ （正楷） 中央编号：_____

日期：_____

持牌人签署

海 通 国 际 期 货 有 限 公 司 确 认 书
吾等确认并同意以上内容

经纪：_____ 牌照号码：_____

Official use only	
Maker	Checker
Date:	Date:

TFF-012

_____
正式获授权代表海通国际期货有限公司

HTIF-012-0920/08(S)

「Futures Contract」Trading is classified as high-risk investment. Please ensure you understand the nature of the「Futures Contract」Trading and carefully consider in light of your experience, objectives, financial resources and the extent of your exposure to risk before signing this Account Opening Form.

海通國際 HAITONG

☐ INDIVIDUAL ACCOUNT
☐ JOINT ACCOUNT
☐ COMPANY / PARTNERSHIP ACCOUNT

For Futures A/C

FUTURES TRADING ACCOUNT OPENING FORM

To: **HAITONG INTERNATIONAL FUTURES LIMITED**, a licensed corporation to carry on Type 2 (dealing in futures contracts) regulated activity for the purposes of the Securities and Futures Ordinance (Cap. 571)(CE No.: AAX474) and a Futures Commission Merchant of Hong Kong Futures Exchange Limited of 22/F., Li Po Chun Chambers, 189 Des Voeux Road Central, Hong Kong. (the "**Company**")

Words denoting the plural shall include the singular and vice versa.

Dear Sirs,

1. ACCOUNT OPENING APPLICATION

I/We _____ **("Client")** hereby request you to open and maintain a futures trading account or accounts (referred to, together with any other accounts maintained by me/us with the Company, as the "**Account(s)**") on the terms and conditions set out in the Futures Account Terms and Conditions (the "**Terms and Conditions**") and to provide me/us with a Password and Login Name in order to access the Electronic Services.

2. TYPE OF SERVICE REQUIRED

I/We wish to open one or more Account(s) with the Company as I/we may decide from time to time for the purchase or sale of commodities.

The Company agrees that the Company will from time to time at my/our request and at the Company's sole discretion allow me/us to open one or more Account(s) with the Company and accept and maintain such Account(s) to be designated by names, numbers or otherwise, and will act as agent (except as principal(s) on occasions specified in this Agreement) for me/us in the purchase or sale of commodities subject to the Terms and Conditions.

3. SIGNING INSTRUCTION (joint account only)

(1) Each of the joint account holders specified is authorized to give verbal instructions and / or instructions through the Electronic Services (where applicable) in relation to the purchase or sale of any commodities from time to time singly; and

(2) ☐ Either one joint account holder signing singly, ☐ All joint account holders signing jointly, validates any written instructions relating to the operation of the Account(s).

4. CONFIRMATIONS AND ACKNOWLEDGEMENTS

I/We acknowledge my/our receipt of (i) the Terms and Conditions; and (ii) the Futures & Options Trading - service charge.

Agreement and Risk Disclosure Statement. I/We hereby acknowledge and confirm that this Agreement, including but not limited to the Risk Disclosure Statements set out in the Terms and Conditions, was provided to me/us in a language of my/our choice (English or Chinese) and I/we was/were invited to read the Risk Disclosure Statements carefully, ask questions and take independent advice if I/we wish.

Standing Authority. I/We hereby acknowledge and confirm that I/we have authorized the Company to deal with Monies (as defined in the Terms and Conditions) in accordance with the Clause 10 of Standing Authority in the Terms and Conditions and the contents of that Clause have been explained to me/us and I/we understand the contents of that Clause.

I/We hereby confirm that I/we have read and agree to the Company's Account Opening Form, Signature Page for Company / Partnership Account Use Only (if applicable), completed the Client Information Statement and have read and agree to the Terms and Conditions, all of which form the agreement made between the Company and me/us in relation to the Account(s).

I/We confirm that I/We have received a printed copy of the account opening form and client information statement regarding my/our account maintained with Haitong International Futures Limited in the language as selected by me/us (in Chinese or in English or both).

Data Privacy Policy. I/We have carefully read, fully understood and agreed to accept and be bound by the notice and statement relating to the Personal Data (Privacy) Ordinance (Cap. 486 of the Laws of Hong Kong) as set out in the Data Privacy Policy of the Haitong International Securities Group Limited. Unless I/We have indicated my/our objection by ticking the boxes below, I/We hereby consent to the use of my/our personal data for direct marketing purpose.

☐ I/We object to the proposed use of my/our personal data by other third parties except for the use of my/our personal data in direct marketing by any member of Haitong International Securities Group Limited in direct marketing.

☐ I/We object to the proposed use of my/our personal data by any member of Haitong International Securities Group Limited and any third parties in direct marketing.

Date : _____

Account No. : 01-_____-00-_____

SIGNED by

☞ Client's Signature/Specimen*

(Individual) (Joint Clients)

☞ Company Client's Signature / Authorized Specimen(s) and Business Chop

(Company Clients)

Client's Name : _____

ID / Passport No. : _____

Joint Client's name: _____

ID / Passport No.: _____

SIGNED by Authorized Signatory(ies) : _____

ID / Passport No. : _____

For and on behalf of the Client _____

In the presence of : _____)

Address of witness : _____)

_____)

ID / Passport No. of witness : _____)

Signature of Witness

DECLARATION BY LICENSED PERSON

I have provided the Risk Disclosure Statements to the Client in a language of his/their choice (English or Chinese) and have invited him/them to read the Risk Disclosure Statements carefully, ask questions and take independent advice if he/they wish(es).

Name: _____ (Block Letters) CE No.: _____

Date: _____

Signature of Licensed Person

ACKNOWLEDGEMENT BY HAITONG INTERNATIONAL FUTURES LIMITED

We acknowledge and agree to the above.

_____

Duly authorized for and on behalf of
Haitong International Futures Limited

Account Manager: _____ CE No.: _____

Official use only	
Maker	Checker
Date:	Date:

TFF-012

HTIF-012-0920/08(S)