

Local Futures & Options Products Fee Schedule

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Note: Changes or amendment of the information might be made at any time without prior notice.

Item	Charges				
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A. Index Futures

	Offline Commission / Cash Settlement Handling Fee*	Online Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee
Hang Seng Index Futures	Min per side : \$60 (DayTrade) \$100 (Overnight)	Min per side : \$60 (DayTrade) \$100 (Overnight)	\$0.54	\$10.00	\$10.00^
Mini-Hang Seng Index Futures	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.10	\$3.50	\$3.50^
H-shares Index Futures	Min per side : \$40 (DayTrade) \$90 (Overnight)	Min per side : \$40 (DayTrade) \$90 (Overnight)	\$0.54	\$3.50	\$3.50^
Mini H-Shares Index Futures	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.10	\$2.00	\$2.00^
HSI Dividend Point Index Futures (HSI Dividend Futures)	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$10 (DayTrade) \$25 (Overnight)	\$0.54	\$3.00	\$3.00^
HSCEI Dividend Point Index Futures (HSCEI Dividend Futures)	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$10 (DayTrade) \$25 (Overnight)	\$0.54	\$1.50	\$1.50^
HSI Volatility Index Futures	Min per side : \$100 (DayTrade) \$150 (Overnight)	Min per side : \$100 (DayTrade) \$150 (Overnight)	\$0.54	\$10.00	\$10.00^
CES Gaming Top 10 Index	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.54	\$2	\$2^
Hang Seng Mainland Oil & Gas Index	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight))	\$0.54	\$2	\$2^
Hang Seng Mainland Banks Index	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.54	\$2	\$2^
Hang Seng Mainland Healthcare Index	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.54	\$2	\$2^

Item	Charges				
	Offline Commission / Cash Settlement Handling Fee*	Online Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee
Hang Seng Mainland Properties Index	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.54	\$2.00	\$2.00^
Hang Seng Software & Service Index	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.54	\$2.00	\$2.00^
Hang Seng IT Hardware Index	Min per side : \$20 (DayTrade) \$35 (Overnight)	Min per side : \$15 (DayTrade) \$25 (Overnight)	\$0.54	\$2.00	\$2.00^
IBOVESPA Futures	Min per side : \$20 (DayTrade) \$30 (Overnight)	Min per side : \$20 (DayTrade) \$30 (Overnight)	\$0.54	\$10.00	\$10.00^
MICEX Index Futures	Min per side : \$30 (DayTrade) \$40 (Overnight)	Min per side : \$20 (DayTrade) \$30 (Overnight)	\$0.54	\$5.00	\$5.00^
S&P BSE SENSEX Index Futures	Min per side : \$30 (DayTrade) \$40 (Overnight)	Min per side : \$20 (DayTrade) \$30 (Overnight)	\$0.54	\$5.00	\$5.00^
FTSE/JSE Top40 Futures	Min per side : \$30 (DayTrade) \$40 (Overnight)	Min per side : \$20 (DayTrade) \$30 (Overnight)	\$0.54	\$5.00	\$5.00^
CES China 120 Index Futures	Min per side : \$40 (DayTrade) \$40 (Overnight)	Min per side : \$40 (DayTrade) \$40 (Overnight)	\$0.54	\$10.00	\$10.00^

* All futures contracts will be subjected to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

^ Collected on the Final Settlement day for unsettled contract

Item	Charges						
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B. Currency Futures Products

	Offline Commission	Online Commission	Commission Levy	Exchange Fee	Exchange Physical Settlement Fee	Physical Settlement Delivery Fee	Cash Settlement Handling Fee*
USD/CNH Futures	Min per side : RMB 20 (DayTrade) RMB 35 (Overnight)	Min per side : RMB 15 (DayTrade) RMB 25 (Overnight)	N/A	RMB 8.00	RMB 8.00 [^]	RMB 200 [^]	RMB 35 (Offline) RMB 25 (Online)
	Offline Commission / Cash Settlement Handling Fee*	Online Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee		
EUR/CNH Futures	RMB 35	RMB 20	N/A	RMB 5	RMB 5 [^]		
JPY/CNH Futures	RMB 35	RMB 20	N/A	RMB 5	RMB 5 [^]		
AUD/CNH Futures	RMB 35	RMB 20	N/A	RMB 5	RMB 5 [^]		
CNH/USD Futures	USD 5	USD 3	N/A	USD 0.6	USD 0.6 [^]		

* All futures contracts will be subjected to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

[^] Collected on the Final Settlement Day for unsettled contract

Item	Charges			
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C. Index Options

	Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee
Hang Seng Index Options	1% of contract value per contract per side Min: \$50 Max: \$100	\$0.54	\$10.00	HK\$10.00^
Mini-Hang Seng Index Options	1% of contract value per contract per side Min: \$30 Max: \$50	\$0.10	\$2.00	HK\$2.00^
H-shares Index Options	1% of contract value per contract per side Min: \$50 Max: \$100	\$0.54	\$3.50	HK\$3.50^
Mini H-shares Index Options	1% of contract value per contract per side Min: \$30 Max: \$50	HK\$0.54	HK\$1.00	HK\$1.00^

^ Option that are exercised on Expiry Day

* All options contracts will be subject to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

D. Currency Options

	Offline Commission	Online Commission	Commission Levy	Exchange Fee	Exchange Physical Settlement Fee	Physical Settlement Delivery Fee	Cash Settlement Handling Fee*
USD/CNH Options	Min per side : RMB 20 (DayTrade) RMB 35 (Overnight)	Min per side : RMB 15 (DayTrade) RMB 25 (Overnight)	N/A	RMB 8.00	RMB 8.00^	RMB 200^	RMB 35 (Offline) RMB 25 (Online)

* All options contracts will be subject to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

^ Option that are exercised on Expiry Day

Item

Charges

E. Stock Futures

	Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee
Stock Futures	Min: HK\$12.00 per contract (per side) (on day trade roundturn); Min: HK\$20.00 per contract (per side) (on overnight trade roundturn)	HK\$0.10 per contract per side	HK\$3.50 per contract (per side)	HK\$10.00 per contract (per side)^

* All futures contracts will be subjected to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

^ Collected on the Final Settlement day for unsettled contract

Item	Charges			
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F. Interest Rate Products

	Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee
One-month Hibor Futures	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	\$0.54	\$5.00	\$5.00^
Three-month Hibor Futures	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	\$0.54	\$5.00	\$5.00^

* All futures contracts will be subjected to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

^ Collected on the Final Settlement day for unsettled contract

Item	Charges				
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G. Commodities Products

(per contract per side)	Offline Commission / Cash Settlement Handling Fee*	Online Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee
London Aluminum Mini Futures	Min per side: HK\$10 (Day Trade) HK\$10 (Overnight)	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	RMB 0.44	RMB 3	RMB 3 [^]
London Zinc Mini Futures	Min per side: HK\$10 (Day Trade) HK\$10 (Overnight)	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	RMB 0.44	RMB 3	RMB 3 [^]
London Copper Mini Futures	Min per side: HK\$10 (Day Trade) HK\$10 (Overnight)	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	RMB 0.44	RMB 5	RMB 5 [^]
London Nickel Mini Futures	Min per side: HK\$10 (Day Trade) HK\$10 (Overnight)	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	RMB 0.44	RMB 3	RMB 2 [^]
London Tin Mini Futures	Min per side: HK\$10 (Day Trade) HK\$10 (Overnight)	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	RMB 0.44	RMB 3	RMB 2 [^]
London Lead Mini Futures	Min per side: HK\$10 (Day Trade) HK\$10 (Overnight)	Min per side: HK\$20 (Day Trade) HK\$35 (Overnight)	RMB 0.44	RMB 3	RMB 3 [^]
USD Gold Futures	Min per side : USD 5	Min per side : USD 3	USD 0.07 ^{#1}	USD 1 ^{#2}	USD 2 ^{^ #3}
CNH Gold Futures	Min per side : RMB 35	Min per side : RMB 20	RMB 0.5 ^{#1}	USD 6 ^{#2}	USD 12 ^{^ #3}
Iron Ore Futures	Min per side : USD 5	Min per side : USD 3	USD 0.07 ^{#4}	USD 1 ^{#4}	USD 1 [^]

* All futures contracts will be subjected to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

[^] Collected on the Final Settlement Day for unsettled contract

#1 The Commission Levy will be exempted for the first six months from 10 Jul 2017 to 9 Jan 2018, both dates inclusive, excluding the after-hours trading session from 5:15 pm on 9 Jan 2018

#2 The Exchange Fee will be exempted for the first six months from 10 Jul 2017 to 9 Jan 2018, both dates inclusive, excluding the after-hours trading session from 5:15 pm on 9 Jan 2018

#3 The Settlement Fee will be exempted for the first six months from 10 Jul 2017 to 9 Jan 2018, both dates inclusive, excluding the after-hours trading session from 5:15 pm on 9 Jan 2018

#4 The Commission Levy & the Exchange Fee will be exempted for the first six months from 13 Nov 2017 to 11 May 2018, both dates inclusive, excluding the after-hours trading session from 5:15 pm on 11 May 2018

H. Bond Futures

	Offline Commission / Cash Settlement Handling Fee*	Online Commission / Cash Settlement Handling Fee*	Commission Levy	Exchange Fee	Exchange Cash Settlement Fee
5-Year China MOF T-Bond Futures	Min per side: RMB 20 (Day trade) RMB 35 (Overnight)	Min per side: RMB 20 (Day trade) RMB 35 (Overnight)	RMB 0.5	RMB 5	RMB 5^

* All futures contracts will be subjected to Cash Settlement Handling Fee (per contract), upon the contract expiry on settlement day.

^ Collected on the Final Settlement Day for unsettled contract