



國泰海通
GUOTAI HAITONG

海通國際
HAITONG

Haitong International Securities Company Limited
海通国际证券有限公司
Haitong International Futures Limited
海通国际期货有限公司
Haitong International Asset Management Limited
海通国际资产管理有限公司
Haitong International Investment Managers Limited
海通国际投资经理有限公司

Haitong International Asset Management (HK) Limited
海通国际资产管理(香港)有限公司
HTI Advisory Company Limited
Haitong International Finance Company Limited
海通国际财务有限公司

Change of Particular & Estatement Service Form
更改资料及易结单服务表格

Account Number
账户号码:

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|

Important Note 重要提示

*Customer Service Department will conduct phone confirmation with you after receiving your instruction of change of particular. In case you are unreachable or unsuccessfully confirmed, your trading account(s) will be suspended. You are required to contact our Customer Service for phone confirmation if you want to reactivate your account(s) and we may request you to provide new instruction of change of particular.
*客户服务部在收到阁下的更改资料指示后，将致电阁下进行电话确认。如未能成功联络或确认，阁下的账户服务和交易功能将被暂停。阁下需重启交易账户，须致电客户服务部以作电话确认，本公司亦有可能要求阁下重新递交更改指示。

Fax No. 传真号码: (852) 2530 1689

Effective (Date), please change my / our information as follows:
请由(日期) 起将本人 / 吾等之资料更改如下:

| 1. Business / Personal Data 商业 / 个人资料 | |
|---|---|
| Name of Client 客户名称: | (Chinese 中文) <input style="width: 400px;" type="text"/> (English 英文 / Pinyin 拼音) <input style="width: 400px;" type="text"/> |
| ID / Passport No. / Certificate of Incorporation Number 身份证 / 护照号码 / 公司注册号码: | <input style="width: 500px;" type="text"/> |

*Complete only those details to be changed. 只需填写所需更改资料。

| | | | |
|---------------------------|--|-------------------------|-------|
| Mobile Phone No. 手提电话: | The first no. will be used for receiving SMS 最先的号码将会用作收取短讯 1. () - 2. () - | Home Phone No. 住宅电话: | () - |
| | | Fax No. 传真号码: | () - |
| | | Office Phone 公司电话: | () - |

| | |
|--|--|
| Method of Account Statement Collection 户口结单收取方式 | <input type="checkbox"/> By email to the E-mail Address for both daily and monthly account statements. 户口日结单及月结单电邮至电邮地址。 E-mail Address 电邮地址: <input style="width: 500px;" type="text"/> |
|--|--|

| | |
|--|--|
| Change of Current Residential/Home Address/Business Address 更改现时住宅地址/公司营业地址 | *Please provide a copy of address proof issued within the past 3 months as supporting document 请提供三个月以内之地址证明以作凭证 (P.O. Box address is not acceptable 恕不接受中央邮箱地址) |
| | (Chinese 中文) <input style="width: 800px;" type="text"/> (Postal Code 邮编) () |
| | (English 英文) <input style="width: 800px;" type="text"/> (Postal Code 邮编) () |
| | <input type="checkbox"/> Register address as your correspondence address simultaneously 同时登记地址为阁下的通讯地址 |

| | |
|--|---|
| Change of Mailing/Correspondence Address 更改通讯地址 | (Chinese 中文) <input style="width: 800px;" type="text"/> (Postal Code 邮编) () |
| | (English 英文) <input style="width: 800px;" type="text"/> (Postal Code 邮编) () |
| | (If mailing/correspondence address locates in China, Chinese address will be displayed in statement) (如通讯地址为国内地址，中文地址将设置为结单上显示之地址。) |

| | |
|------------------------------------|------------------------------------|
| Change of Office Address 更改公司地址 | *For Personal Client only 只适用于个人客户 |
|------------------------------------|------------------------------------|

| | |
|-----------------------------------|--|
| Preferred Statement Language 结单语言 | <input type="checkbox"/> English <input type="checkbox"/> 繁体中文 <input type="checkbox"/> 简体中文 |
|-----------------------------------|--|

| | | | |
|---------------------------|-------------------|------------------------------|-----------------|
| Name of Employer 雇主名称: | Occupation 行业: | Years in Occupation 从业年数: | Position 职位: |
|---------------------------|-------------------|------------------------------|-----------------|

Please provide a daytime contact number for any follow up matters:
请提供一个日间联络电话以方便与公司职员对以上更改资料作出跟进:

I / We represent that the information on this Change of Particular & Estatement Service Form is true, complete and correct. Upon request from your Companies, I / We shall promptly (in any event, within 30 days) provide the Companies any additional documentation, including without limitation to the self-certification, in relation to the changes to such information. If the additional documentation is not provided timely, I / we understand that the Companies may disclose and / or submit certain of my / our account information in relation to the changes to the competent regulatory or Government Authority in the relevant jurisdiction(s) (including without limitation to U.S. Internal Revenue Service, U.S. Department of the Treasury and the Hong Kong Inland Revenue Department) for the purpose of complying with FATCA, Common Reporting Standard and other related laws, regulations, codes and rules.本人 / 吾等兹声明在更改资料及易结单服务表格所提供之资料全部真实、完整及正确。如贵公司要求，本人 / 吾等会尽快（在任何情况下，在30天内）向贵公司提供所需的额外文件，包括但不限于更新资料变更后的自我证明。如本人 / 吾等未能在指定时间内提供所需的额外文件，本人 / 吾等明白贵公司可能根据有关的资料变更向相关司法管辖区内的合资格监管及 / 或政府当局（包括但不限于美国国家税务局、美国财政部和香港税务局）披露及 / 或提交本人 / 吾等之相关账户资料，以符合FATCA、《共同汇报标准条例》和其他相关法规、守则和规则的规定。

| | | |
|-------------------------|------------|--------------|
| Client's Signature 客户签署 | Date 日期 | TFSG-024 |
|-------------------------|------------|--------------|

| For Official Use Only | | | |
|---|--------------|--|---|
| S.V.1: <input type="checkbox"/> Sec <input type="checkbox"/> F Date: _____ | (Individual) | <input type="checkbox"/> Non-US Ind. (w/o US indicia) <input type="checkbox"/> US Individual | <input type="checkbox"/> Non-US Ind (with US indicia) |
| Maker: _____ Date: _____ | | <input type="checkbox"/> Non-US Ind. (w/o US indicia) <input type="checkbox"/> US Individual | |
| Checker: _____ Date: _____ | (Joint) | <input type="checkbox"/> Non-US Ind. (w/o US indicia) <input type="checkbox"/> US Individual | <input type="checkbox"/> Non-US Ind (with US indicia) |
| <input type="checkbox"/> Form submitted in person | | | |
| <input type="checkbox"/> Phone Confirmed By: _____ Date / Time: _____ Wise No.: _____ | | | |