

Haitong International Commission and Services Fees

Securities Trading Commission⁴

| Market | Charge (Based on transaction amount) | Minimum |
|---|---|-----------------------------------|
| Hong Kong ¹ | 0.25% | HKD100/CNY100/USD15 |
| Shanghai/Shenzhen - Hong Kong Stock Connect(Northbound) | 0.25% | CNY100 |
| United States ² | 0.25% | USD30 |
| Shanghai B-Share | 0.30% | USD35 |
| Shenzhen B-Share | 0.30% | HKD100 |
| Japan | 0.30% | JPY3,000 |
| Australia | 0.50% | AUD100 |
| Singapore | 0.30% | USD50 / SGD50 / EUR50 / HKD300 |
| South Korea | 0.40% | KRW60,000 |
| Taiwan | 0.30% | TWD1,500 |
| United Kingdom | 0.50% | GBP50 / USD80 / EUR70 (per order) |
| Canada ³ | 0.50% | CAD150 |

¹ Any trading amount, taxes, fees and commission in relation to securities trading denominated in other than HKD shall be calculated or paid in the corresponding currency.

² Buy and sell orders of U.S. stocks are considered as different orders, for which commissions are calculated separately. Each fully or partially filled order will also be treated as separated trade and commissions are calculated separately.

³ Only Sell order is available for Canadian stocks.

⁴ The revised securities trading commission rate will not apply to existing clients (“existing clients” defined as those who have successfully opened their accounts on or before June 6, 2025.)

IPO Subscription Fee

| Item | Charge |
|--|-------------------------|
| IPO Subscription handling charges | HKD100 Per Order |
| Brokerage Commission | 1% Charge for allotment |
| Financing Charge for subscription of IPO | Subject to market rate |

Margin Financing and Overdraft Interest

| Currency | Applicable Collateral | Margin Interest | Overdraft Interest |
|-----------|---|-----------------|--------------------|
| HKD & USD | Stocks settled in HKD & USD | 6.8% | 14% |
| CNY | Stocks settled in CNY | 8.3% | |
| JPY | Selected constituent stocks tradable on Tokyo Stock Exchange | 9% | |
| AUD | Selected constituent stocks tradable on Australian Stock Exchange | | |
| SGD | Selected constituent stocks tradable on Singapore Exchange | | |

Note :

- The above interest rates are not applicable to the approved special rates.
- Interest may be subject to change from time to time without prior notice.

Collection Fee of Cash/ Scrip Dividend

| Market | Charge |
|--|---|
| Hong Kong ⁵ | 0.5% for first HKD500,000 (Minimum Charge is HKD20) |
| | 0.25% for the remaining amount (per stock) |
| Shanghai/Shenzhen - Hong Kong Stock Connect (Northbound) | 0.5% for first CNY500,000 or below (Minimum Charge is CNY 20) ; and |
| | 0.25% for the remaining amount (per stock) |
| China B-Share | Shanghai B share: 1% of the dividend amount (Minimum : USD 5) |
| | Shenzhen B Share: 1% of the dividend amount (Minimum : HKD50) |
| United States | 1% of the dividend amount (Minimum : USD 5) |
| Japan | 1% of the dividend amount (Minimum : JPY1000) |
| Australia | 1% of the dividend amount (Minimum : AUD10) |
| Singapore | 1.5% of the dividend amount (Minimum : SGD10 /USD10/ HKD50) |
| South Korea | 1% of the dividend amount (Minimum : USD 5) |
| Taiwan | 1% of the dividend amount (Minimum : USD10) |
| United Kingdom | 1% of the dividend amount (Minimum : GBP5) |
| Canada | 1% of the dividend amount (Minimum : CAD 5) |

⁵ 0.12% of the dividend amount is collected by SEHK for cash dividend collection.

Charges for Nominee Services and Corporate Actions

Hong Kong Securities

| Item | Charge | Minimum | Maximum |
|--|--|---------|-----------|
| Collection Fee of Bonus Issue | HKD50 per transaction (per stock) | - | - |
| Fee for Rights Exercise and Excess Right Subscription (Warrants Rights /Rights Issue / Open Offer /Preferential offer subscription) | HKD1 per lot of newly exercised/converted shares (per stock) | HKD10 | - |
| Fee for Tendering Shares / Privatization / | 0.25% of gross amount | HKD20 | - |
| Redemption / Auto Exercise of Covered Warrants | HKD1 per lot of entitled shares (per stock) | HKD10 | - |
| Corporate action fee for Warrants / Rights / Open Offer /Preferential offer subscription and Tendering Shares / Privatization / Redemption / Auto Exercise of Covered Warrants (Collected by SEHK) | HKD0.8 per lot | - | HKD10,000 |
| Custody Fee ⁶ | HKD0.15 per lot | HKD50 | HKD5,000 |

⁶ Custody fee is charged semi-annually on each last business day of Jun and Dec.

Shanghai/Shenzhen - Hong Kong Stock Connect(Northbound)

| Item | Charge | Minimum |
|--|--|---------|
| Collection Fee of Bonus Issue | CNY 0.5 per transaction (per stock) | - |
| Fee for Rights Exercise and Excess Rights Subscription (Warrants /Rights Issue Subscription) | CNY1 per lot of newly exercised / converted shares (per stock) | CNY10 |
| Fee for Tendering Shares / Privatization/ Redemption | 0.25% of gross amount | CNY20 |
| | CNY1 per lot of entitled shares (per stocks) | CNY10 |

Charges Imposed by Exchanges/Regulators

Hong Kong Securities Trading

| Item | Charge ⁷ (based on transaction amount) | Minimum | Maximum |
|--|---|---------|---------|
| Transaction Levy (Collected by SFC) | 0.0027% | - | - |
| Transaction Levy ⁸ (Collected by AFRC) | 0.00015% | - | - |
| Trading Fee (Collected by SEHK) | 0.00565% | - | - |
| CCASS Stock Settlement Fee | 0.0042% | - | - |
| Stamp Duty (Collected by HKSAR Government) | 0.1% (rounded up to the nearest dollar) | HKD1 | - |
| Italian Financial Transaction Tax (Applicable to shares issued by resident enterprises in Italy) | Daily net purchased share × weighted average purchasing price × 0.2% | - | - |
| Compulsory Share Buy back Fee (Collected by SEHK) | Hong Kong Market Stocks: 0.5% of stock value of the previous settlement date based on the closing price in HKD Stock Connect Market Stock: 1.0% of stock value of the previous settlement date based on the closing price in CNY | - | - |
| Registration and Transfer ⁹ (Collected by SEHK) | HKD1.5 per lot | - | - |
| Withholding Tax (US Pilot Programme) | Tax will be withheld by SEHK based on dividends paid for Pilot Programme Securities held under HKSCC | | |

⁷ Any trading amount, taxes, fees and commission in relation to securities trading denominated in other than HKD shall be calculated or paid in the corresponding currency, and transaction levy, trading fee, CCASS stock settlement fee and stamp duty are required to be paid to the relevant authorities in HKD. The Company will pay relevant sum to relevant authorities on behalf of clients on the trading date based on the then exchange rate published by the Hong Kong Monetary Authority. The exchange rates on each trading day are posted on the HKEx website: http://www.hkex.com.hk/eng/market/sec_tradinfo/stampfx/stampfx.asp by 11:00 a.m. on that date.

⁸ With effect from 1 January 2022

⁹ Registration and transfer fee is applicable for cash/scrip dividend, bonus issue, rights and offer issue, rights subscription, open offer, preference offer and warrant subscription, and may be applicable to tendering shares, privatization, redemption, etc.

Shanghai/Shenzhen Hong Kong Stock Connect (Northbound)

| Items | Based on transaction amount | |
|--|---|--------|
| | Shares | ETF |
| Handling Fee (Collected by SSE / SZSE) | 0.00341% | 0.004% |
| Securities Management Fee (Collected by CSRC) | 0.002% | Waived |
| Transfer Fee (Collected by China Clear & HKSCC) | 0.001% (Collected by China Clear) | Waived |
| | 0.002% (Collected by HKSCC) | |
| Stamp Duty (Collected by SAT) | 0.05% (charged against the seller only) | Waived |
| Portfolio Fee ¹⁰ (Collected by HKSCC) | 0.008% of the portfolio value per annum, Minimum HKD100 | |

¹⁰Portfolio Fee is calculated by the total market value of A shares and ETFs in daily investment portfolio and is collected in HKD on a monthly basis.

Note: The Mainland fees of A shares of China Connect [for both SH HK and SZ HK Connect (Northbound)] will be rounded to the nearest HK cent.

China B Share Trading

| Item | Shanghai B share (USD) | Shenzhen B share (HKD) |
|--|---|--------------------------------------|
| | Charge (based on transaction amount) | Charge (based on transaction amount) |
| Stamp Duty | 0.05% (charged against the seller only) | |
| Handling Fee (Collected by SSE / SZSE) | 0.00341% | |
| Clearing Fee (Collected by CSDC) | 0.002% (Max USD 50) | 0.002% (Max HKD 500) |
| Securities Management Fee (Collected by CSRC) | 0.002% | |

United States Trading

| Item | Charge (based on transaction amount) |
|---|---|
| SEC Fees (For sell order only) | 0.00206% (minimum: USD0.01) |
| Envelope Settlement Service (ESS) fee ¹¹ | USD 300 or USD600 (It depends on the transfer agent per stock per trade side) |
| FINRA Trading Activity Fee (For sell order only) | USD0.000195 per share (minimum: USD0.01 maximum: USD9.79) |
| Offer Collection Fee for Scrip Entitlement | USD50 |
| Other Charge ¹² | USD0.0002 per traded share |
| American Depositary Receipts (ADRs) Custody Fee ¹³ | USD0.01~0.05 per share (each charged at the book close) |

¹¹ For details, please refer to the applicable securities list on <https://services.viewtrade.com/ess/>

¹² Applicable to OTCBB, Pink Sheet & BATS of US trading markets with the transaction quantity more than 100,000 shares of US Penny Stocks

¹³ American Depositary Receipts (ADRs) Custody Fee is the fee charged by ADR custody agents against ADR holders. These fees are not collected during trading, but will be deducted by ADR custody agents at a scheduled time, no matter if such stocks are being held in the account or not at the time being.

Japan Trading

| Item | Charge |
|---------------|--|
| Other Charges | Transaction Fee: JPY2,500 per stock (plus Consumption Tax) ¹³ |
| Custody Fee | 0.15% of the portfolio market value (Minimum JPY500) |

¹⁴The Consumption Tax is subject to change as announced by the Japanese government, and no prior notice will be made for such change.

Australia Trading

| Item | Charge |
|-------------------------|--|
| Custody Fee (Per month) | 0.00125% of portfolio value on the last business day of the month (charged monthly) (Example: monthly charge of AUD 1.25 for that with end portfolio value of AUD 100,000) |

Singapore Trading

| Items | Charge (Based on transaction amount) |
|---------------------------|---|
| Trading Fee - Equities | 0.0075% |
| Trading Fee - Warrants | 0.001% |
| Clearing Fee - Equities | 0.0325% |
| Clearing Fee - Warrants | 0.004% |
| Custodian fee (per month) | 0.0025% of portfolio value on the last business day of the month(charged monthly) (Example: monthly charge of SGD 2.5 for the that with month end portfolio value of SGD 100,000) |

South Korea Trading

| Item | Charge |
|-----------|--|
| Sales Tax | 0.2% based on the transaction amount (charged against the seller only) |

Taiwan Trading

| Item | Charge (Based on transaction amount) |
|-----------------------------------|---|
| Sales Tax | 0.3% (charged against the seller only) |
| Transaction Fee | TWD1,500 per transaction |
| Order Placement Fee ¹⁵ | -At or below TWD 2 million: TWD 30/order -Above TWD 2 million: TWD10 for each additional TWD 1 million transaction(Less than 1 million is also treated as 1 million) |
| Custody Fee | 0.1% of the portfolio market value (Minimum USD5) |

¹⁵ This Order Placement Fee is only applicable to Full cash Delivery Stock. No matter if the order is completed or not, clients still need to pay the fee for the order placed.

United Kingdom Trading

| Item | Charge (Based on transaction amount) |
|------------------------|--|
| Stamp Duty | 0.5 % (Applicable for purchasing shares of UK companies) |
| PTM Levy | GBP 1.5 ; it is only applicable for trading shares of companies whose place of incorporation or registration is United Kingdom, the Channel Islands or the Isle of Man with total transaction sum more than £10,000 (or its equivalent in other currency). |
| Overseas Brokerage Fee | will be charged for buy/sell transactions of foreign companies' shares registered in the UK on a case-by-case basis |

Scrip Handling Charges

| Market | Physical Scrip Withdrawal Fee | Physical Scrip Deposit Fee |
|-------------------------|---|---|
| Hong Kong | CCASS Fee: HKD 3.5 per lot | HKD5 for each transfer deed |
| | Handling Fee: HKD1 .5 per lot per stock (Minimum: HKD100) | |
| Singapore ¹⁶ | Deposit fee: USD50 / SGD70 per stock | Deposit fee: USD30 / SGD40 per stock |
| | Courier Charge: USD 30 / SGD 40 per stock | Courier Charge: USD30 / SGD40 per stock |

¹⁶Additional registration fee may be imposed at the broker's discretion.

Settlement Related Services

| Market | Settlement Instruction Fee - Delivery | Settlement Instruction Fee - Deposit |
|--|--|---|
| Hong Kong | 0.002% of total market value based on last closing price (Minimum HKD2, plus handling fee of HKD500 per stock) | Free |
| Shanghai/Shenzhen - Hong Kong Stock Connect (Northbound) | 0.002% of total market value based on last closing price (Minimum CNY2, plus handling fee of HKD500 per stock) | Free |
| Shanghai B-Share | USD50 per stock | USD30 per stock |
| Shenzhen B-Share | HKD200 per stock | HKD100 per stock |
| Japan | JPY5,000 (plus Consumption Tax) ¹⁷ per stock | JPY5,000 (plus Consumption Tax) ¹⁷ per stock |
| United States | USD 100 per stock | Free |
| Australia | AUD50 per stock | |
| Singapore | USD30 / SGD40 per stock | |
| United Kingdom | GBP 50 per stock | |
| Canada | CAD135 per stock | No Services |

¹⁷ The Consumption Tax is subject to change as announced by the Japanese government and no prior notice will be made for such change.

Information Services

| Items | HK and Overseas Clients | Mainland China Clients | |
|--------------------------------------|-------------------------|------------------------|----------------|
| ET Net IQ Streaming | HKD400 per month | | |
| AA Stocks RTQ | HKD400 per month | HKD300 per month | |
| Qian Long | HKD400 per month | HKD200 per month | |
| Haitong International App Streaming | HKD300 per month | HKD180 per month | |
| US stock real time quotation | HKD150 per month | | |
| Trade Confirmation Service - 20 SMSs | Hong Kong | Overseas | Mainland China |
| | HKD15 | HKD40 | HKD10 |

Other Charges

| Charge | Method | Charge |
|--|---|-------------------------------|
| Handling fee for fund deposit | Fund deposit | Free |
| | Cheque refund | HKD200 per cheque |
| Handling fee for fund withdrawal | Fund withdrawn to Hang Seng Bank, HSBC, BOC(HK), Standard Chartered Bank or any registered designated bank account | Free |
| | CHATS | HKD80 per instruction |
| | Telegraphic Transfer (TT) | HKD400 per instruction |
| Statement Service | Within 3 months | Free |
| | Over 3 months | HKD100 per statement |
| Charges for certificate for stock balance or account | per account | HKD200 for each certification |
| Agent Fee for Dividend Collection | HKD1,000 per transaction, plus HKD100 for dividend per period | |
| Transfer of Name Using Buy/Sell Orders of Stocks | 0.1% of market value based on last closing price (Minimum HKD250 or equivalent), plus Government Stamp Duty (if applicable) | |

Note :

- All fees and charges are subject to change from time to time without prior notice.
- The fees, charges or levies listed in this Fee Schedule (including those applicable to certain securities or services charged by Haitong International (HTI), relevant services providers, government or regulatory authorities) are not exhaustive. For details, please contact our customer services at (852) 35833388 or 400 001 1822 or your account executive. You may also call our trading hotline at (852) 2213 8333.
- Fees and charges are calculated on the one lot basis. Odd lot of shares will be deemed as a whole lot.
- Custody fee is charged semi-annually on each last business day of Jun and Dec (except for Australia and Singapore stocks. For details, please refer to the tables above).