

## Stock Options Trading Fee Schedule

Item		Charges	
<b>A. Stock Options Trading</b>			
		Charges	Minimum/Maximum
i)	Commission	0.25% on options contract value	Min: HK\$100
ii)	Exchange Tariff	Options Tier 1	HK\$3 per contract
		Options Tier 2	HK\$1 per contract
		Options Tier 3	HK\$0.5 per contract
<b>B. Stock Options Exercise / Assignment</b>			
i)	Exercise Fee	HK\$2 per contract	-
ii)	Transaction Levy (Collected by SFC)	0.0027% of transaction amount resulted from exercise/ assignment	
iii)	Transaction Levy (Collected by FRC)	0.00015% of transaction amount resulted from exercise/ assignment	-
iv)	Trading Fee (Collected by SEHK)	0.00565% of transaction amount resulted from exercise/ assignment	-
v)	CCASS Stock Settlement Fee	0.002% of transaction amount resulted from exercise/ assignment	Min: HK\$2
			Max: HK\$100
vi)	Stamp Duty (Collected by HKSAR Government)	0.1% of transaction amount resulted from exercise / assignment (rounded up to the nearest dollar)	Min: HK\$1
vii)	Commission	Commission = transaction amount resulted from exercise/assignment X Offline Commission rate	Min: HK\$100
<b>C. Finance Charges</b>			
i)	Overdraft in Stocks Options Account*	HSBC Prime rate + 9% (in Annual interest rate)	

\*With effect from 1 July 2018

**Note: Changes or amendment of the information might be made at any time without prior notice.**

Updated on 7<sup>th</sup> June, 2025